



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ana Gabriela Anaya et al. Art Unit : 3937
Serial No. : 09/347,497 Examiner : Richard C. Weisberger
Filed : June 2, 1999
Title : MARKET EVENT ALERTS AND USER ACCESS TO MONITORING DATA

Commissioner of Patents and Trademarks
Washington, DC 20231

DECLARATION

I, Ann. G. Neidenbach, a citizen of the United States, residing in Easton CT hereby declare:

1. I am a Vice-President at The Nasdaq Stock Market, Inc.
2. I am an inventor of the subject matter which is claimed in the above-referenced United States patent application. I have read and understand the patent application. I am familiar with the application's present claims.
3. I am familiar with the press release "Nasdaq giving Windows NT a Chance" by Randy Weston, *CNET*, available at <http://news.com.com/2100-1017-218775.html?legacy=cnet>, dated December 7, 1998. This press release reports on the work of the inventors in the above-referenced patent application and is entirely derived therefrom.
4. All statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted,

Date: August 22, 2005


Ann. G. Neidenbach